



# FEE TRANSMITTAL

Docket No.	5398-017-27 CONT
Serial No.	10/666,997
Filing Date	September 18, 2003
Inventor(s)	Carol CARTER, et al.
Group Art Unit	1646

**TOTAL AMOUNT OF PAYMENT** \$583.00

Examiner

1. ☒ Applicant claims small entity status.

☒ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.

☐ Charge the indicated fees to Deposit Account No. 50-1442.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		

### FEE CALCULATION

#### 1. BASIC FILING FEE

1051	130	2051	65	Surcharge-late filing fee or oath	65
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1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
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1053	130	1053	130	Non-English Specification	
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1251	110	2251	55	1-mo. ext. of time	
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1252	420	2252	210	2-mo. ext. of time	
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1253	950	2253	475	3-mo. ext. of time	475
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1254	1480	2254	740	4-mo. ext. of time	
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1255	2010	2255	1005	5-mo. ext. of time	
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1401	330	2401	165	Notice of Appeal	
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**SUBTOTAL (1)** \$0.00

1402	330	2402	165	Appeal Brief	
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#### 2. EXTRA CLAIM FEES

1403	290	2403	145	Request for Oral Hearing	
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1501	1330	2501	665	Utility/Reissue Issue Fee	
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1502	480	2502	240	Design Issue Fee	
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1504	300	1504	300	Publication Fee	
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☐ Multiple Dependent Claims \$145 =

1801	770	2801	385	For Filing RCE	
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1806	180	1806	180	IDS Submission	
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1801	770	2801	385	For Filing RCE	
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1806	180	1806	180	IDS Submission	
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1801	770	2801	385	For Filing RCE	
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1806	180	1806	180	IDS Submission	
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1801	770	2801	385	For Filing RCE	
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1806	180	1806	180	IDS Submission	
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**SUBTOTAL (2)** \$43.00

\* or number previously paid, if greater; For Reissues, see above

**SUBTOTAL (3)** \$540.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	8-24-04
Name	Michael Ye, Ph.D.	Telephone	202-861-3900
		Registration No.	47,195

DOCKET NO. 5398-017-27 CONT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

RE APPLICATION OF: Carol CARTER, et al.

ART UNIT: 1646

SERIAL NO.: 10/666,997

EXAMINER:

FILING DATE: September 18, 2003

FOR: TSG101 AS INHIBITOR OF HIV PRODUCTION

**FILING OF DECLARATION AND FORMAL DRAWINGS**

ASSISTANT COMMISSIONER FOR PATENTS

PO BOX 1450

ALEXANDRIA, VA 22313-1450

SIR:

Responsive to the notification dated **March 24, 2004**, Applicants submit herewith a Rule 63 Declaration, along with Formal Drawings (13). The required fee of \$65.00 is enclosed herewith.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

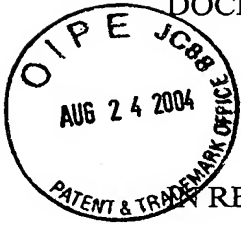
PIPER RUDNICK LLP



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FACSIMILE: 202-223-2085

DOCKET NO.: 5398-017-27 CONT

ASSISTANT COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Re: Serial No.: 10/666,997  
Applicant(s): Carol CARTER, et al.  
Filing Date: September 18, 2003  
For: TSG101 AS INHIBITOR OF HIV PRODUCTION  
Group Art Unit: 1646  
Examiner:

SIR:

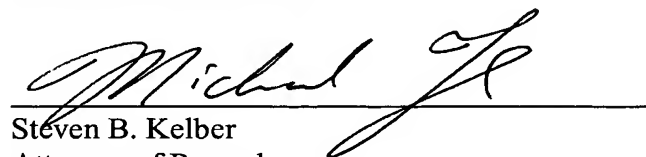
Attached hereto for filing are the following papers:

Fee Transmittal  
Request for Extension of Time (Three-Months)  
Notice to File Missing Parts of Nonprovisional Application (Return Copy)  
Filing of Declaration and Formal Drawings  
Declaration (4 pages, executed)  
Thirteen (13) Sheets of Drawings  
List of 10 Practitioners to be Recognized by the Office as Being of Record  
Supplemental Preliminary Amendment

Our check in the amount of \$ 583.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER RUDNICK LLP



Steven B. Kelber  
Attorney of Record  
Registration No.: 30,073

Michael Ye, Ph.D.  
Registration No.: 47,195

Ifw



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/666,997	09/18/2003	Carol Carter	10784-035

20583  
 JONES DAY  
 222 EAST 41ST STREET  
 NEW YORK, NY 10017



CONFIRMATION NO. 6642

## FORMALITIES LETTER



\*OC000000012170303\*

Date Mailed: 03/24/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 3A-C.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- \$65 Late oath or declaration Surcharge.

08/25/2004 SSESHE1 00000018 10666997

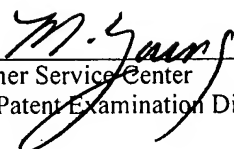
01 FC:2201  
 02 FC:2051

43.00 OP  
 65.00 OP

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE